# Klauber & Jackson Attorneys At Law Continental Plaza 411 Hackensack Avenue Hackensack, New Jersey 07601

TELEPHONE: (201) 487-5800

TO: Office of Duplicate OIPE	THE FIRM'S FACSIMILE TRANSMITTER		
SERIAL NO: 09/757,415	_	:	
FROM: Valeta A. Gregg	(201) 343-1684	•	
DATE: September 9, 2002	(201) 343-7544 —		
PAGES TO FOLLOW: 35			
MESSAGE:			
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File No. 2459-1-002N	Fax Tel. No(703) 746-9195	· 	
If you have any questions regarding compat	tibility, you may reach us at (201) 487-5800.	, v	
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confidential information intended only for the use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copy of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone (201-487-5800) and return this original

transmission to us by mail without making a copy.

PATENT 2459-1-002N

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Ming-Ming Zhou and Mitchell Goldfarb

SERIAL NO. :

09/757,415

**EXAMINER:** 

Unknown

FILED

January 9, 2001

ART UNIT

1645

**FOR** 

METHODS OF IDENTIFYING MODULATORS OF THE FGF

RECEPTOR

#### CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted via facsimile to the ASSISTAN'T COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on September 9, 2002.

Lois A. Snure

(Name of Depositor)

Signature and Date)

#### RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Dear Sir:

Responsive to the Request for Substitute Papers dated August 26, 2002, issued in connection with the above-identified Application, which is now due for response on September 9, 2002, Applicants herein submit copies of the following documents that were filed on December 17, 2001.

- (1) Submission of Missing Parts of Application which includes:
  - (a) An Associate Power of Attorney;
  - (b) A copy of the executed Declaration and Power of Attorney;
  - (b) A paper copy of the Sequence Listing;
  - (c) A copy of the statement in support of the filing and submission of a Sequence Listing in accordance with 37 CFR 1.821 1.825.;
  - (d) A copy of the Notice to File Missing Parts;
  - (e) A copy of the RAW SEQUENCE LISTING ERROR REPORT and the VERIFICATION SUMMARY REPORT from the Checker Program;
  - (f) A copy of the postcard and check that was filed with the submission.
- (2) The executed Assignment and recordal sheet transmittal along with the postcard and check mailed with the submission.
- (3) A copy of the Request for Substitute Papers signed by the undersigned

PATENT 2459-1-002N

representative for Applicant stating that above-mentioned documents are a complete and accurate copy of the papers that were filed on December 17, 2001.

Favorable consideration are believed to be in order, and are courteously solicited.

Respectfully submitted,

VALETA A. GREGG Attorney for Applicant Registration No. 35,127

Klauber & Jackson 411 Continental Plaza Hackensack, NJ 07601 (201)487-5800

Date: September 9, 2002







# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Tradomerk Office Address: COMMESSIONER OF PATENTS AND TRADEMARTS Washington, D.C. 2022: WWW.Hattle.gov

http://neo/cgi-bin/expo/SubInfo/su...CER DT=12%2F17%2F01&Phone\_Num=opie

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/757,415	01/09/2001	Zhou, Ming-Ming	2459-1-002N

Klauber & Jackson 411 Hackensack Avenue Hackensack NJ 07601

Title: Methods of identifying modulators of the FGF receptor

Date Mailed: 08/26/2002

# Request for Substitute Papers

The papers filed on 01/24/02 (certificate of mailing dated 12/17/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated  $\frac{103.7444060(ODE)}{103.7444060(ODE)}$   $\frac{103.7444060(ODE)}{103.7444060(ODE)}$ 703-746-9195

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

eta A. Grega\_Registration No.: 35, 127

Signature: 7/al /2 Q. Gugg Date: 9/9/02

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Serial No.09/757,415   File No. 2459—1-0028, DAJ/VAG/bs  Title   METHODS OF IDENTIFYING MODULATORS OF THE  In the Matter of the Application of ZHOU AND GOLDFARB  The following was received in the U.S. Patent & Trademark Office on the date stamped hereon: VIA FIRST CLASS MAIL.  Missing Parts   Extension of Time   Issue Fee Transmittal Sheat/Cover Ltr.   Extension of Time   Issue Fee Transmittal   Issue Fee T		·	
Missing Parts Maintenance Fee Mainte	Tills METHODS OF IDENTIFY	ING MODULATORS OF THE	
Application For Patent	The following was received in the U.S. stamped hereon: VIA FIRST	Patent & Trademark Office on the date	
	Application For Patent    Application For Patent   XDeclaration   Affidavit executor   Drawings   Sheet(s)   S.E. Verifled Statements   Assignment   Assignment   999.00   Letter   Missing Parts   Priority Document   Amendment   Response Sequence Statement   2004	Issue Fee Transmitted   Issu	æ,

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#### KLAUBER & JACKSON

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PATENT, TRADEMARK AND COPYRIGHT C., USES (20)( 487-5)00

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email: info@kiplaw.com

\*BAR OTHER THAN N.J.

. COUNSEL

PATENT AGENTS

CHRISTINE É. DIETZEL, PH.D. MICHAEL A. YAMIN, PH.D. December 17, 2001

SCIENTIFIC ADVISOR

VERONICA M. MALLON, PH.D.

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Attention:

Box Missing Parts

Application Processing Division

Special Processing and Correspondence Branch

Re:

U.S. Patent Application

Applicant(s)

Ming-Ming Zhou and Mitchell Goldfarb

Serial No.

09/757,415

Filed

January 9, 2001

Title

METHODS OF IDENTIFYING

MODULATORS OF THE FGF

RECEPTOR

Docket No.

2459-1-002N

## **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on <u>December 17, 2001.</u>

Valeta A. Gregg, Reg. No. 35,127

(Name of Registered Representative)

Signature and Date

# SUBMISSION OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.53(d)

Sir:

Responsive to the Notice to File Missing Parts of Application dated October 23, 2001, (copy enclosed) under 37 CFR 1.53(d), Applicants submit herewith the following:

1. A combined Declaration and Power of Attorney making reference to the above-identified application, and in compliance with 37 CFR 1.63.

# ASSISTANT COMMISSIONER FOR PATENTS

December 17, 2001

Page - 2 -

Docket No.: 2459-1-002N

- 2. A paper copy of a Sequence Listing for insertion into the Application as filed at the end of the Specification and prior to the Claims.
- 3. A copy of the Sequence Listing in computer readable form (ASCII text), submitted on a 3½" floppy disk compatible with IBM format.
- 4. A statement in support of the filing and submission of a Sequence Listing in accordance with 37 CFR 1.821 1.825.
- 5. A copy of the RAW SEQUENCE LISTING ERROR REPORT and the VERIFICATION SUMMARY REPORT from the Checker Program.
- 6. An Associate Power of Attorney
- 7. A check in the amount of \$999.00, covering the following:
  - (a) Filing Fee under 37 CFR 1.16 (including multiple dependent claims)

934.00

(b) Surcharge under 37 CFR 1.16

65.00

(c) Small entity status is entitled to be asserted for the above-identified application under 37 C.F.R. §1.27(c).

The time set for this response is December 23, 2001.

A correct Filing Receipt reflecting the above and attached information is requested.

Applicants hereby authorize that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153.

Respectfully submitted,

Attorney for Applicant(s)

Registration No. 35,127

VAG/bls Enclosures

KLAUBER & JACKSON

Received from < > at 9/9/02 9:50:25 AM [Eastern Daylight Time]

PATIENT 2459-1-QD2N

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT :

Ming-Ming Zhou and Mitchell Goldfarb et al.

SERIAL NO. :

09/757,415

**EXAMINER:** 

Unknown

**FILED** 

January 9, 2001

**ART UNIT** 

1645

**FOR** 

NERVE GROWTH FACTOR STRUCTURAL ANALOGS AND THEIR

USES

# Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS. WASHINGTON, D.C. 20231 on December 17, 2001.

David A. Jackson, Reg. No. 26,742

(Name of Registered Rep.)

12/17/01

## ASSOCIATE POWER OF ATTORNEY

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Sir:

The undersigned attorney for applicant hereby appoints Valeta A. Gregg, Registration No. 35,127, as Associate Attorney to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith.

Please continue to address all correspondence as follows:

David A. Jackson, Esq. KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, New Jersey 07601

Respectfully submitted,

Attorney for Applicant(s) Registration No. 26,742

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, NJ 07601 (201) 487-5800

Date: December 17, 2001

Page 1 of 2



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARY OFFICE

WASHINGTON, D.S. 20231

ANA HADIO GOA

FIRST NAMED APPLICANT FILING RECEIPT DATE APPLICATION NUMBER

ATTORNEY DOCKET NUMBER 2459-1-002N

09/757,415

01,09/2001

Ming-Ming Zhou

**CONFIRMATION NO. 6912** FORMALITIES LETTER OC000000006952583\*

Klauber & Jackson 411 Hackensack Avenue Hackensack, NJ 07601

Date Mailed: 10/23/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained, by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$538.
  - \$18 for 2 total claims over 20.
  - \$520 for 13 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16() of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 958.
- · A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.g v

Page 2 of 2

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE